

# **Towards a combined static and dynamic analysis approach for feature location**

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# Overview

- Feature Location Problem
- Different Approaches and Techniques
- A combined approach based on Impact Analysis
- A case study
- Conclusions
- Future work

# Introduction

- **Feature Location Problem:**

Identifying the relationships between the user's view and the programmer's view [Wilde et al. 1992].

User's view:  $FEATURES = \{ f1, f2, \dots, fn \}$

Programmer's view:  $UNITS = \{ u1, u2, \dots, um \}$ .

The feature location problem is to recover the implementation relationships over  $FEATURES$   $UNITS$ .

Two types of relationships:

- **Relevant relation** (all units related to a feature)
- **Specific relation** (units related to a feature and not to any other feature's implementation)

# Different Approaches:

	<b>Interactive</b>	<b>Non interactive</b>
<b>Static</b>	Chen and Rajlich 2000 Griswold et al. 2001	Zhao et al 2006
<b>Dynamic</b>	Mehta and Heineman 2001	Wilde and Scully 1995 Wong et al. 1999 Rohatgi 2007
<b>Combined</b>	Eisenbarth et al. 2003	Poshyvanyk et al. 2007 Antoniol and Gueheneuc 2005

# Static Approaches:

- **Call Graphs**
- **BRCG (Branch Reserving Call Graph)**  
*Zhao et al. 2006 - SNIAFL*  
*Qin et al. 2003*
- **CDG (Component Dependency Graph)**  
*Rohatgi et al. 2007*
- **Abstract System Dependency Graph (ASDG)**  
*Chen and Rajlich 2000*
- ...

## **Limitation:**

- They can rarely identify entities related to a specific execution scenario exactly.
- Tend to be imprecise due to not handling dynamic binding, pointers,....

# Dynamic Approaches:

- Based on dynamic execution traces
- Test cases (non Interactive JUNIT, interactive user input)
  
- Wilde and Scully 1995 - Software Reconnaissance
- Wong et al. 1999
- Mehta and Heineman 2001
- ...

## **Limitation:**

- How many traces are needed
- Design of test cases is a difficult task
- They are unable to distinguish between overlapping features

# Combined Approaches:

- **Eisenbarth et al. 2003**
  - Concept lattice of each feature using traces
  - CDG
- **Antoniol and Gueheneuc 2005**
  - A model of program architecture in AOL format
  - Collecting trace information based on scenario execution
  - Knowledge-based filtering and probabilistic ranking methods
- **Poshyvanyk et al. 2007**
  - One trace
  - IR
- ...

# Interactive Approaches:

- **Biggerstaff et al. 1993**
  - Concept assignment problem.
  - Call graph and the program clustering graph
  - Some regular-expression-based matching tools
- **Chen and Rajlich 2000**
  - Abstract system dependency graph (ASDG)
- **Griswold et al. 2001**
  - Lexical searches
- **Robillard and Murphy 2002**
  - Concern graphs
- ...



# Different Techniques:

- **Information Retrieval and Latent Semantic indexing(LSI)**
  - Deerwester 1990
  - Antoniol et al. 2000,2002
  - Marcus and Maletic 2003
  - Cubranic and Murphy 2003
  - Zhao et al. 2006 – SNIAFL
- **Scenario Based Probabilistic ranking (SBP)**
  - Poshyvanyk et al. 2006
- **Concept Analysis**
  - Eisenbarth et al. 2001, 2003
  - Poshyvanyk and Marcus 2007
- **Impact Analysis**
  - Rohatgi 2007
- ...

# Impact Analysis – a combined approach

- **Hypothesis:**

The smaller the impact set related to a component modification, the more likely it is that the component set is specific to a feature.

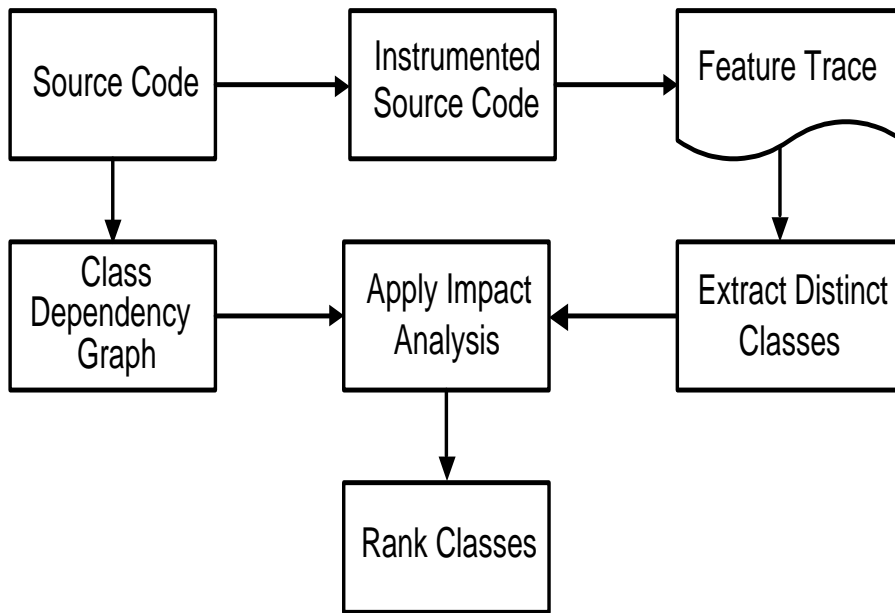
- **Combination of:**

- Dynamic Analysis: An execution trace that corresponds to a software feature
- Static Analysis: A CDG

- **Advantage :**

- Requires only the creation of **one** trace.
- Only limited system knowledge is required to perform the analysis (only the input conditions for the specific feature).
- Can provide a good approximation of the core components specific to a feature.

# Approach - Overview



- A trace is generated by exercising the feature of interest and *distinct* classes are extracted from this trace.
- Two impact analysis metrics are applied on the extracted classes.
- These impact measures, classes are ranked based on these impact metrics.

# Approach - Basic Definitions

- **Class Afferent Impact CAI( $c$ )**  
The set of classes that are affected directly/indirectly when  $c$  is modified.
- **Class Efferent Impact CEI( $c$ ) :**  
The set of classes that will affect  $c$  if they change. These are all classes  $c$  directly/indirectly depends on.
- **Package Afferent Impact PAI( $c$ ):**  
The set of packages affected by a modification of  $c$ . Every package in a system is considered as a separate packages.

# Approach - Two Way Impact Metric (TWI) and Weighted TWI (WTWI)

## Two Way Impact Metric (TWI)

**Description:** The TWI metric considers both the afferent and efferent impact set at the component level.

**Assumption:** The modification of a feature specific class has a very low impact on the remaining parts of the system.

## Weighted Two Way Impact Metric (WTWI)

**Description:** Uses additional system information by considering also the number of packages affected by a class modification (PAI).

**Assumption:** A class affecting five classes from three different packages is more likely to be part of the execution profile of several features than a class affecting five classes all located in one package.

Classes with large CAI correspond to be non-feature specific classes.

Efferent impact with a lower emphasis

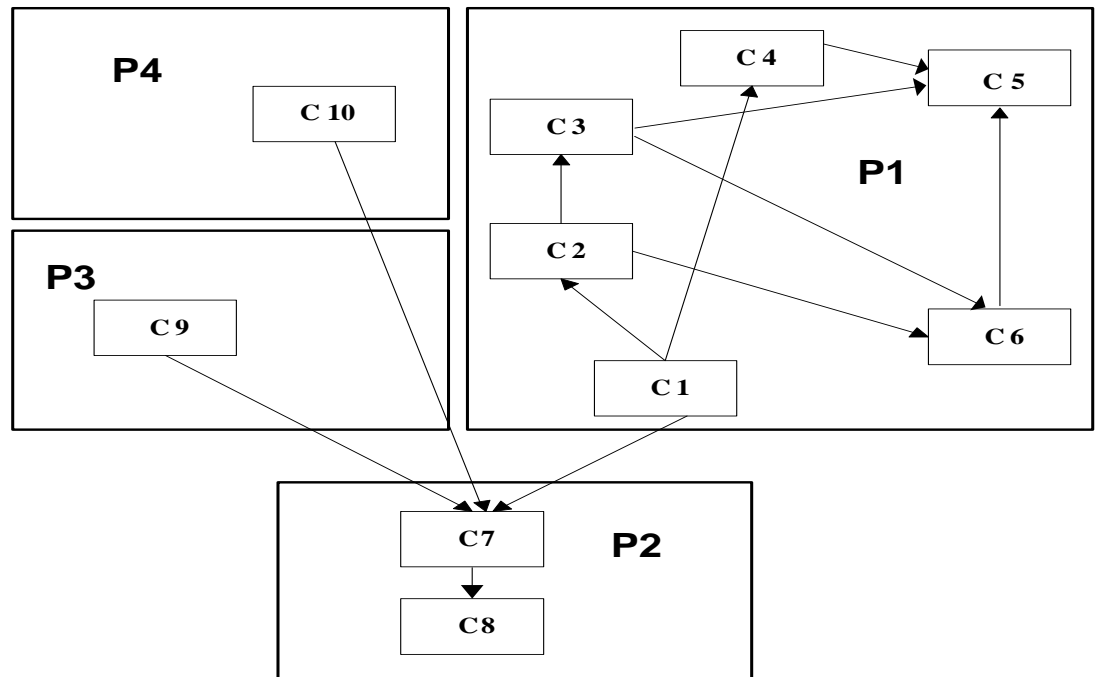
$$TWI(c) = \frac{CAI(c)}{|S|} \times \frac{\text{Log}\left(\frac{|S|}{CEI(c) + 1}\right)}{\text{Log}(|S|)}$$

Package afferent impact

$$WTWI(c) = TWI(c) \times \frac{PAI(c)}{|P|}$$

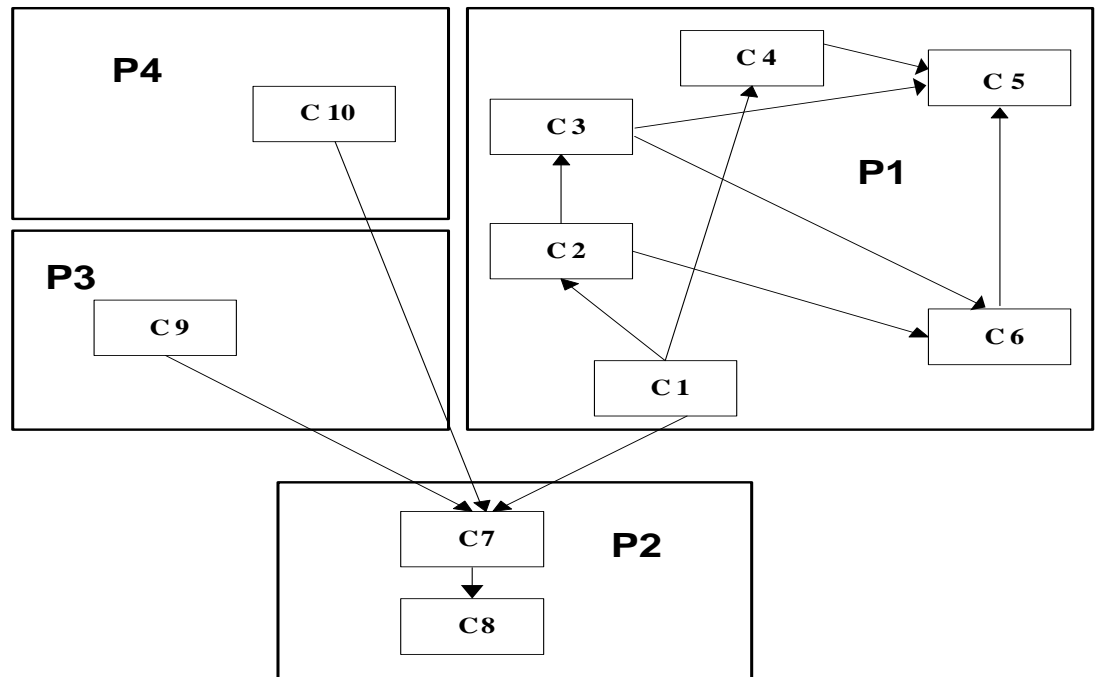
# Example - Two Way Impact Metric (TWI) and

Classes	CAI	CEI	TWI
<b>C1</b>	<b>0</b>	<b>7</b>	<b>0</b>
<b>C2</b>	<b>1</b>	<b>3</b>	<b>0.04</b>
<b>C4</b>	<b>1</b>	<b>1</b>	<b>0.08</b>
<b>C3</b>	<b>2</b>	<b>2</b>	<b>0.12</b>
<b>C6</b>	<b>3</b>	<b>1</b>	<b>0.25</b>
C7	3	1	0.25
<b>C5</b>	<b>5</b>	<b>0</b>	<b>0.63</b>
C8	5	0	0.63



# Example - Weighted TWI (WTWI)

Classes	PAI	WTWI
<b>C1</b>	<b>1</b>	<b>0</b>
<b>C2</b>	<b>1</b>	<b>0.01</b>
<b>C4</b>	<b>1</b>	<b>0.02</b>
<b>C3</b>	<b>1</b>	<b>0.03</b>
<b>C5</b>	<b>1</b>	<b>0.16</b>
<b>C6</b>	<b>1</b>	<b>0.06</b>
C7	4	0.25
C8	4	0.63





# Case Study

- **Checkstyle v3.3**
  - Java Based System.
  - A development tool to help programmers writing Java code that adheres to a coding standard.
  - 17 packages, 210 classes, and 130 KLOC.
  - Well documented systems, allowed an easy manual review.
- Software Feature: **Check Code** - Analyzes Java code for coding problems.
  - Check code feature trace contains 68 distinct classes. Manual analysis showed that 32 of these classes can be considered feature specific.
  - Coding package contains most important classes required for the implementation of check code feature.

# Feature Location for the CheckCode Feature Using TWI– Part 1

Class	CAI	CEI	TWI*1000	
coding.ExplicitInitializationCheck		1	22	0.59
coding.MagicNumberCheck		1	22	0.59
coding.IllegalTokenTextCheck		1	21	0.6
coding.IllegalTypeCheck		1	21	0.6
coding.NestedIfDepthCheck		1	21	0.6
coding.RedundantThrowsCheck		1	21	0.6
coding.SuperCloneCheck		1	21	0.6
coding.SuperFinalizeCheck		1	21	0.6
coding.DeclarationOrderCheck		1	20	0.62
coding.HiddenFieldCheck		1	20	0.62
coding.IllegalCatchCheck		1	20	0.62
coding.JUnitTestCaseCheck		1	20	0.62
coding.MissingSwitchDefaultCheck		1	20	0.62
coding.CovariantEqualsCheck		1	19	0.63
coding.IllegalInstantiationCheck		1	19	0.63
coding.IllegalTokenCheck		1	19	0.63
coding.NestedTryDepthCheck		1	19	0.63
coding.ArrayTrailingCommaCheck		1	18	0.64
coding.AvoidInlineCondCheck		1	18	0.64
coding.DoubleCheckedLockCheck		1	18	0.64
coding.EmptyStatementCheck		1	18	0.64
coding.EqualsHashCodeCheck		1	18	0.64
coding.FinalLocalVariableCheck		1	18	0.64
coding.InnerAssignmentCheck		1	18	0.64
coding.PackageDeclarationCheck		1	18	0.64
coding.ParameterAssignmentCheck		1	18	0.64
coding.ReturnCountCheck		1	18	0.64
coding.SimplifyBooleanExpCheck		1	18	0.64
coding.SimplifyBooleanReturnCheck		1	18	0.64
coding.StringLiteralEqualityCheck		1	18	0.64
checkstyle.DefaultConfiguration		1	2	1.14
checks.DescendantTokenCheck		2	19	1.26
checks.GenericIllegalRegexpCheck		2	19	1.26
checkstyle.TreeWalker		3	32	1.49

# Feature Location for the CheckCode Feature Using TWI– Part 2

<i>checkstyle.Checker</i>	3	21	1.81
<i>checks.AbstractTypeAwareCheck</i>	3	20	1.85
<b>coding.AbstractSuperCheck</b>	3	20	1.85
<b>coding.AbstractNestedDepthCheck</b>	3	18	1.93
<i>checkstyle.DefaultLogger</i>	3	11	2.3
<i>checkstyle.ConfigurationLoader</i>	3	3	3.19
<i>checkstyle.PropertiesExpander</i>	3	2	3.42
<i>checkstyle.PackageNamesLoader</i>	4	4	4.01
<i>grammars.GeneratedJava14Lexer</i>	4	3	4.25
<i>checkstyle.PropertyCacheFile</i>	4	2	4.56
<i>grammars.GeneratedJava14Recognizer</i>	4	2	4.56
<i>checkstyle.StringArrayReader</i>	4	1	4.99
<i>checkstyle.PackageObjectFactory</i>	5	2	5.69
<i>apis.FilterSet</i>	6	5	5.72
<i>apis.AbstractFileSetCheck</i>	8	13	5.81
<i>checkstyle.DefaultContext</i>	7	2	7.97
<i>checks.CheckUtils</i>	8	3	8.49
<i>checkstyle.AbstractLoader</i>	7	1	8.73
<i>checks.AbstractFormatCheck</i>	17	18	10.95
<i>apis.TokenTypes</i>	9	1	11.23
<i>apis.AuditEvent</i>	13	3	13.8
<i>apis.ScopeUtils</i>	19	3	20.17
<i>apis.FullIdent</i>	21	2	23.92
<i>apis.Scope</i>	20	1	24.95
<i>apis.Check</i>	126	17	82.99
<i>apis.AbstractViolationReporter</i>	132	8	111.47
<i>apis.FileContents</i>	127	4	127.26
<i>apis.AutomaticBean</i>	140	6	127.65
<i>apis.LocalizedMessages</i>	132	3	140.16
<i>apis.DetailAST</i>	131	1	163.44
<i>apis.LocalizedMessage</i>	148	2	168.57
<i>apis.Utils</i>	136	1	169.68
<i>apis.StrArrayConverter</i>	141	1	175.92
<i>apis.SeverityLevel</i>	150	1	187.15

**Misplaced classes**

**apis  
a Checkstyle  
utility package**

## Feature location with WTWI applied to the CheckCode feature – Part 1

Class	PAI	WTWI*1000
coding.ExplicitInitializationCheck	1	0.03
coding.MagicNumberCheck	1	0.03
coding.IllegalTokenTextCheck	1	0.04
coding.IllegalTypeCheck	1	0.04
coding.NestedIfDepthCheck	1	0.04
coding.RedundantThrowsCheck	1	0.04
coding.SuperCloneCheck	1	0.04
coding.SuperFinalizeCheck	1	0.04
coding.DeclarationOrderCheck	1	0.04
coding.HiddenFieldCheck	1	0.04
coding.IllegalCatchCheck	1	0.04
coding.JUnitTestCaseCheck	1	0.04
coding.MissingSwitchDefaultCheck	1	0.04
coding.CovariantEqualsCheck	1	0.04
coding.IllegalInstantiationCheck	1	0.04
coding.IllegalTokenCheck	1	0.04
coding.NestedTryDepthCheck	1	0.04
coding.ArrayTrailingCommaCheck	1	0.04
coding.AvoidInlineConditionalsCheck	1	0.04
coding.DoubleCheckedLockCheck	1	0.04
coding.EmptyStatementCheck	1	0.04
coding.EqualsHashCodeCheck	1	0.04
coding.FinalLocalVariableCheck	1	0.04
coding.InnerAssignmentCheck	1	0.04
coding.PackageDeclarationCheck	1	0.04
coding.ParameterAssignmentCheck	1	0.04
coding.ReturnCountCheck	1	0.04
coding.SimplifyBooleanExpCheck	1	0.04
coding.SimplifyBooleanReturnCheck	1	0.04
coding.StringLiteralEqualityCheck	1	0.04
checkstyle.DefaultConfiguration	1	0.07
checkstyle.Checker	1	0.11
coding.AbstractSuperCheck	1	0.11
coding.AbstractNestedDepthCheck	1	0.11

**Using WTWI –an improved clustering has been achieved**

## Feature location with WTWI applied to the CheckCode feature – Part 2

<i>checkstyle.DefaultLogger</i>	1	0.14
<i>checks.DescendantTokenCheck</i>	2	0.15
<i>checks.GenericIllegalRegexpCheck</i>	2	0.15
<i>checkstyle.TreeWalker</i>	2	0.18
<i>checkstyle.ConfigurationLoader</i>	1	0.19
<i>checkstyle.PropertiesExpander</i>	1	0.2
<i>checkstyle.PackageNamesLoader</i>	1	0.24
<i>checks.AbstractTypeAwareCheck</i>	3	0.33
<i>checkstyle.PackageObjectFactory</i>	1	0.33
<i>checkstyle.PropertyCacheFile</i>	2	0.54
<i>checkstyle.StringArrayReader</i>	2	0.59
<i>grammars.GeneratedJava14Lexer</i>	3	0.75
<i>grammars.GeneratedJava14Recognizer</i>	3	0.8
<i>checkstyle.DefaultContext</i>	2	0.94
<i>apis.FilterSet</i>	3	1.01
<i>checkstyle.AbstractLoader</i>	2	1.03
<i>checks.CheckUtils</i>	3	1.5
<i>apis.AbstractFileSetCheck</i>	6	2.05
<i>apis.AuditEvent</i>	3	2.44
<i>checks.AbstractFormatCheck</i>	4	2.58
<i>apis.TokenTypes</i>	5	3.3
<i>apis.ScopeUtils</i>	7	8.31
<i>apis.Scope</i>	7	10.27
<i>apis.FullIdent</i>	8	11.26
<i>apis.Check</i>	14	68.34
<i>apis.AbstractViolationReporter</i>	15	98.35
<i>apis.FileContents</i>	14	104.8
<i>apis.AutomaticBean</i>	16	120.14
<i>apis.LocalizedMessages</i>	15	123.67
<i>apis.DetailAST</i>	14	134.6
<i>apis.StrArrayConverter</i>	15	155.22
<i>apis.LocalizedMessage</i>	16	158.65
<i>apis.Utils</i>	16	159.7
<i>apis.SeverityLevel</i>	16	176.14

# Conclusions

- Identified and ranked classes that are most specific to a feature.
- Straight forward approach (re-apply existing techniques and tools).
- WTWI (Weighted Two Way Impact) shows improved results over TWI by considering system architectural information =>
  - Improved grouping and
  - Closer ranking of feature specific classes.

## **Limitations:**

- Quality of feature trace
- Structure of the source code (high coupled and low cohesive)
- Interpretation of clustering

# Future Work

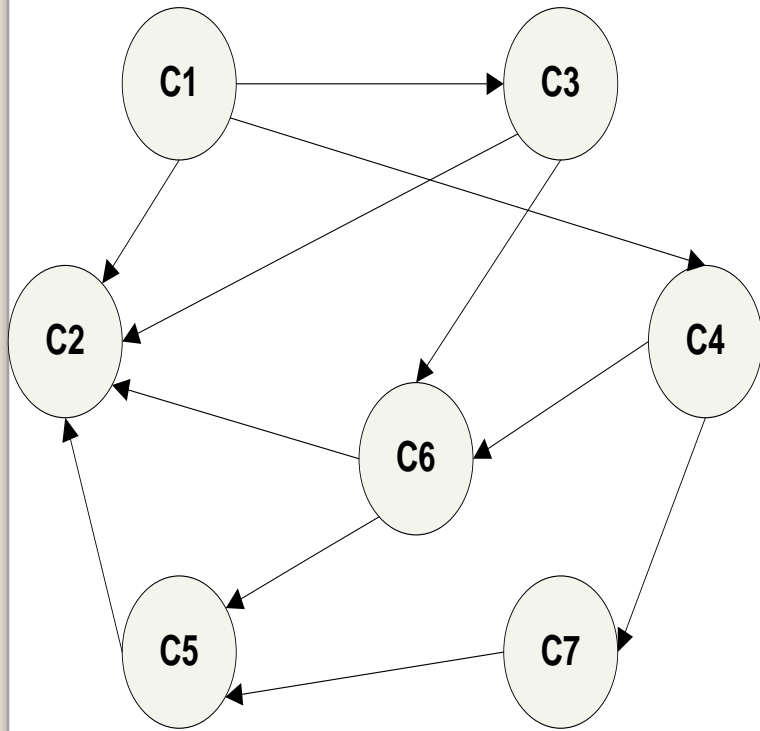
- Use of thresholds to support automatic grouping.
- Investigate the use of other metrics and further refine current ones.
- Evaluation of the approach
  - based on *precision* and *recall* and
  - direct comparison with other feature location approaches.
- Combine impact analysis approach with other techniques like IR
- Further evaluations are needed for larger, less well designed systems.

# Thank You





# Approach *continued* -Impact Analysis



Component Dependency Graph

- The impact set for a component modification involving a class  $C$  is defined by all classes that are directly or indirectly affected by the modification of class  $C$ .
- Impact (C5) = (C6 (C3, C1, C4), C7 (C4, C1), C4 (C1))
- The classes  $C6$ ,  $C7$  and  $C4$  are those that are directly concerned with the modification of class  $C5$ .
- The classes inside the inner brackets  $C3$ ,  $C1$ ,  $C4$  are these classes that are indirectly affected by modification of class  $C5$ .
- Note : For the purpose of this research only the set of distinct classes in the impact set are considered.

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